

## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUM 09/921,51

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

08/01/2001

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ORT-1461

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DEC 0 3 2001

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J&J PAT. DKT. SECTION

**CONFIRMATION NO. 6102 FORMALITIES LETTER** 

\*OC000000006800325\*

Date Mailed: 09/27/2001

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## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file allo required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

• The oath or declaration is unsigned.

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

■ For Rules Interpretation, call (703) 308-4216

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COPY FORWARDED TO ASSIGNEE

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY



DOCKET NO. ORT-1461

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No.:

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Art Unit:

N/A

Filed:

1 August 2001

Examiner:

**NOT ASSIGNED** 

For:

GENE EXPRESSION PROFILE FOR KSHV INFECTION AND METHODS

FOR TREATING SAME

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

27 Nóvember 2001

(Date of Deposit)

hn W. Wallen, III, Esq

ant, assignee, or Registered Representative

(Signature)

<u> 27 N</u>ovember 2001 (Date of Signature)

**Assistant Commissioner for Patents** 

**BOX: SEQUENCE** 

Washington, D.C. 20231

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID **SEQUENCE DISCLOSURES** 

Sir:

In response to the Notice to File Missing Parts mailed 27 September 2001, Applicants submit a computer readable form of the Sequence Listing and a paper copy of the Sequence Listing.

As required, Applicants have enclosed the Notice to File Missing Parts, which states that the content of the computer readable form submitted with the original application does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked up "Raw Sequence Listing". Please be advised that a marked-up "Raw Sequence Listing" was not included with the Notice to File Missing Parts, and therefore, Applicants are unable to include a copy with our reply. A new sequence listing was prepared using Patenting version 3.1.

The following statements are made as required by 37 C.F.R. 1.821(f) and 37 C.F.R. 1.821(g): I hereby state that the content of the attached paper and computer readable copies of the Sequence Listing are the same. I hereby state that the content of the attached paper and computer readable copies of the Sequence Listing contain no new matter.

pectfully submitted

John W. Wallen, Reg. No. 35,403

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DATE: 27 November 2001